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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): DIECKROGER, Jens
et al. EXAMINER: LE, Que Tan

SERIAL NO.: 10/075,536 GROUP ART UNIT: 2800

FILED: February 14, 2002 Attorney Docket No.: P-5819-US

FOR.: CONFIGURATION FOR DETECTING OPTICAL SIGNALS IN AT LEAST
ONE OPTICAL CHANNEL IN A PLANAR LIGHT CIRCUIT,
ATTENUATOR INCLUDING THE CONFIGURATION, AND METHOD
FOR MANUFACTURING THE CONFIGURATION

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

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Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, this Information Disclosure Statement includes Form PTO-1449:

1. ☐ listing documents including patents, publications, and other information for consideration by the Examiner, copies of which are included with this information disclosure statement;
2. ☐ listing documents including patents, publications and other information that have been previously cited or submitted to the Patent Office in prior application U.S. Serial No. _____, filed _____ which is properly identified and relied on for an earlier effective filing date under 35 U.S.C. 120 for consideration by the Examiner; however, in accordance with 37 C.F.R. 1.98(d), copies of such documents are not included in this information disclosure statement; and/or
3. ☒ listing other information for the Examiner's consideration which was cited in a communication from a foreign patent office in a counterpart foreign application, a copy of which is included with this information disclosure statement.

The information herein cited is only in fulfillment of Applicant(s) duty of candor in disclosing all information brought to Applicant(s) attention. This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve(s) the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each and every document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application and is evidence that the Examiner has considered the document.

This Information Disclosure Statement is being filed:

I) ☐ Within three (3) months of filing the subject Application or entry of the subject Application into the national stage or before mailing of the first Office Action on the merits of the subject Application or a request for continued examination thereof, whichever event occurs last pursuant to of 37 C.F.R §1.97 (b); or

II) ☒ After the period specified in (I) but before the mailing date of either a final Official Action under 37 C.F.R §1.113 or a notice of allowance under 37 C.F.R §1.311 whichever occurs first and;

1. ☐ the undersigned hereby states that each item of information listed on the Form PTO-1449 was either (i) cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement or (ii) not cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, not

known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this information disclosure statement; or

2. ☒ the undersigned hereby authorizes the Patent Office to charge the fee in the amount of \$180.00 under 37 C.F.R §1.17 (p) to Deposit Account 05-0649.

III) ☐ After the period in (I) and (II) but before the payment of the issue fee and,

1. The undersigned hereby states:

a) ☐ that each item of information cited on the form PTO-1449 was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement; or

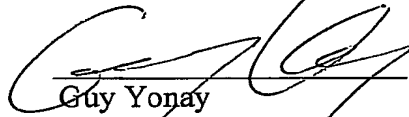
b) ☐ that no items of information contained in Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; and

2. ☐ The undersigned hereby authorizes the Patent Office to charge the Petition fee in the Amount of \$180.00 under 37 C.F.R §1.17 (p) to Deposit Account 05-0649.

APPLICANTS: DIECKROGER, Jens et al.
SERIAL NO.: 10/075,536
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Page 4

Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 05-0649.

Respectfully submitted,

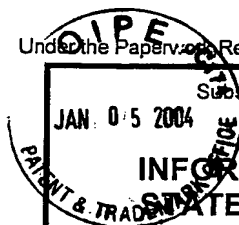


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Dated: January 5, 2004

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

2

Complete if Known

Application Number	10/075,536
Filing Date	February 14, 2002
First Named Inventor	DIECKROGER, Jens
Group Art Unit	2800
Examiner Name	LE, Que Tan
Attorney Docket Number	P-5819-US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	6,093,939		Artigue, et al.	July 25, 2000	
	2	5, 909,523		Sakaino, et al.	June 1, 1999	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	3	EP	1 083 450		Yamada, et al.	March 14, 2001		<input type="checkbox"/>
	4	EP	0 807 981		Yamamoto et al.	Nov. 19, 1997		<input type="checkbox"/>
	5	EP	0 331 332		Blonder	Feb. 22, 1989 (filing)		<input type="checkbox"/>
	6	EP	0 723 171		Hirataka, et al.	Junly 24, 1996		<input type="checkbox"/>
	7	FR	2 795 872		Serge	June 29, 1999		<input type="checkbox"/>
	8	JP	2000-304-951		Hideki, et al.	Nov. 2, 2000		
	9	JP	2002-14258		Yoshiaki	Jan. 18, 2002		
	10	JP	11-231176/1999		Miki, et al.	Aug. 27, 1999		
	11	JP	10-311936/1998		Katsuhiko	Nov. 24, 1998		
	12	JP	10-274729/1998		Denicola, et al.	June 6, 1999		
	13	JP	10-82930/1998		Sakaino, et al.	March 31, 1998		
	14	JP	9-307134/1997		Yamamoto et al.	Nov. 28, 1997		
	15	JP	8-204288/1996		Hirataka, et al.	Aug. 9, 1996		
	16	JP	8-78657/1996		Yamada, et al.	March 22, 1996		
	17	JP	7-225329/1995		Hattori	Aug 22, 1995		
	18	JP	7-43564/1995		Hattori	Feb. 14, 1995		
	19	JP	2-71203/1990		Blonder	March 9, 1990		
	20	JP	2-13909/1990		Yamada, et al.	Jan. 18, 1990		
	21	JP	62-242362/1987		Yamada, et al.	Oct. 22, 1987		
	22	JP	2002-532747		Froejdh	Oct. 2, 2002		
	23	JP	63-501393/1998		Mallinson, et al.	May 26, 1988		

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

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Substitution for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		
Application Number		10/075,536				
Filing Date		February 14, 2002				
First Named Inventor		DIECKROGER, Jens				
Group Art Unit		2800				
Examiner Name						
Attorney Docket Number		P-5819-US				
Sheet		of				

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
	24	Mino, et al. "Optoelectronic hybrid integrated laser diode module using planar lightwave circuit platform". Electronics Letters, October 27 1994, Vol. 30/No. 22, pages 1888-1890	<input type="checkbox"/>	
	25	Van der Linden, et al. "Cost-Effective and High-Density Integration of Monitoring Photodetector Arrays onto Polymeric Guided-Wave Components". Electronic Components and Technology Conference, 1998, page 1012-1017	<input type="checkbox"/>	
	26	PCT Search Report: Application PCT/DE 01/02078, Feb. 18, 2002	<input type="checkbox"/>	
	27	Notice of Reasons for Refusal: Japanese Patent Application No. 2002-542590, June 24, 2003	<input type="checkbox"/>	
			<input type="checkbox"/>	
			<input type="checkbox"/>	

Examiner Signature		Date Considered	
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* **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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